

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James Zucherman et al.Application No./Patent No./Control No.: 10/790,651 Filed/Issue Date: March 1, 2004Entitled: METHOD FOR LATERAL IMPLANTATION OF SPINOUS PROCESS SPACERST. FRANCIS MEDICAL TECHNOLOGIES, INC., a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James Zucherman et al. To : ST. FRANCIS MEDICAL TECHNOLOGIES, LLC
The document was recorded in the United States Patent and Trademark Office at
Reel 009726, Frame 0770, or for which a copy thereof is attached.
2. From: ST. FRANCIS MEDICAL TECHNOLOGIES, LLC To : ST. FRANCIS MEDICAL TECHNOLOGIES, INC.
The document was recorded in the United States Patent and Trademark Office at
Reel 009942, Frame 0677, or for which a copy thereof is attached.
3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Mark D. Barrish/

Signature

June 20, 2007

Date

Mark D. Barrish

Printed or Typed Name

650-326-2400

Telephone Number

Attorney of Record

Title